

Mortgage Broker Supplemental Application

Real Estate Agents Errors and Omissions Liability Coverage

(Attach to the appropriate completed, signed & dated Real Estate Agents Errors & Omissions Liability Application.)

Firm Name: _____

Address: _____

City: _____ County: _____ State: _____ Zip: _____

- a. What percentage of the firm's originated loans are placed through:
 - Commercial Banks or Savings & Loans? _____ %
 - Governmental Agencies (i.e. FHA or VA)? _____ %
 - Private Investors? _____ %

- b. What percentage is:
 - Residential Loans? _____ % Residential Construction Loans? _____ %
 - Commercial Loans? _____ % Commercial Construction Loans? _____ %
 - Hard Money or Sub-prime Loans? _____ %

- c. Does the firm provide origination services for only one bank or lender? Yes No.
- d. Is the firm affiliated or have common ownership with a real estate development company for which it provides loan service?..... Yes No.
- e. Does the firm perform any underwriting or servicing duties? Yes No.
- f. Does the firm fund any loans via a warehouse line of credit or other means in your own name? Yes No.
- g. Does the firm fund any loans without having advance written commitment from an investor?..... Yes No.
- h. Does the state in which you originate loans require you to be licensed? Yes No.
 What type of license do you hold? _____
- i. Does the firm employ separate loan officers and loan processors to handle the loan originations and document processing for its real estate sales associates and brokers? Yes No.
 If "No", describe what controls the firm has in place to ensure that the real estate sales associates do not manipulate the buyers qualifications on the loan application to gain a sale. _____

- j. Does the firm have written procedures for, and does it always comply with:
 - Truth in Lending Laws? Yes No.
 - Equal Credit Opportunity Act? Yes No.
 - Real Estate Settlement Procedures Act? Yes No.

I declare that the information provided in this supplemental application is true and accurate to the best of my knowledge, I have not withheld or misstated any material facts, and I will notify the company if the information on this supplemental application changes between the date of this supplemental application and the effective date of any insurance provided. I agree that this supplemental application does not bind the company to issue or the applicant to purchase the insurance. I further agree this supplemental application will become part of the Real Estate Errors and Omissions Liability Coverage Application.

Name: _____ Title: _____

Signature: _____ Date: _____

MUST BE SIGNED AND DATED BY A PRINCIPAL OF THE FIRM.